

ESC Declaration and Management of Conflict of Interest Policy - **APPENDIX 3**
Rules for assessment of DOIs

The purpose of the assessment is to enable the ESC to evaluate and manage the relevance of a declared interest as a potential conflict to its scientific, educational, and advocacy activities.

1 Key principles

- The ESC assumes all submitted DOIs are made in good faith.
- A specific conflict in one activity does not automatically disqualify a member from others.
- The ESC acknowledges that conflicts of interest can vary in degree.
- Members are fully responsible for disclosing relevant interests as requested in the DOI Form and understand that non-compliance may result in restricted participation or exclusion from the ESC.

2 Specific rules for assessment of conflicts

2.1 Governance positions

ESC and ESC Associations Board members, ESC Working Groups and Councils Nuclei members, as well as Editors in Chief of Journals within the ESC Journal Family cannot concurrently hold leadership positions in other international medical organisations other than ESC National Cardiac Societies, without prior approval from the ESC Presidential Trio and if applicable, the relevant ESC Association Presidential Trios, ESC Working Groups and Councils Chair Trios.

Representatives and employees from healthcare industry are not eligible to ESC Board and Nominating Committee positions, ESC Associations Board and Nominating Committee positions, and ESC Working Groups and Councils Nuclei positions.

2.2 Clinical Practice Guidelines and documents developed under the auspices of Clinical Practice Guidelines (CPG) Committee

The criteria listed below apply to every volunteer (and their spouse/partner) involved in the development of Guidelines and documents developed under the auspices of the CPG Committee. This includes authors, task-force chairs and members, review coordinators, reviewers, and CPG Committee chairs and members.

The following relations with a healthcare company during the year prior the beginning of the activity and until publication, will result in exclusion:

- Employment (full or part time).
- Receipt of more than EUR 10,000 in personal payments, direct or indirect per annum in aggregate. Indirect payments are intended as payments to a department or institution or any other body which impact a member's (or his/her spouse) remuneration.
- Receipt of a direct remuneration or support for the development of an ESC Guideline.

The following relations with a healthcare company during the year prior the beginning of the activity and until publication, will be discussed on a case-by-case basis by the DOI review committee and may lead to possible restrictions or exclusion of a member from ESC Guidelines activities:

- Having substantial stock ownership.
- Holding a patent or an intellectual property which generate substantial revenues.

It is strongly advised to continue to comply with these rules for another two years after publication of the ESC Guidelines, a period during which the guidelines are normally discussed and implemented.

2.3 Scientific Documents

The criteria below apply to authors and reviewers (and their spouse/partner) appointed by ESC Associations, Working Groups and Councils to write official scientific documents.

The following relations with a healthcare company, during the year prior the beginning of the activity and until publication year, will result in exclusion:

- Employment (full or part time).
- Receipt of a direct remuneration or support for the development of a Scientific Document.

The following relations with a healthcare company during the year prior the beginning of the activity and until publication, will be discussed on a case-by-case basis by the DOI review committee leading to possible restrictions or exclusion:

- Having substantial stock ownership.
- Holding a patent or an intellectual property which generate substantial revenues.

2.4 Editors in Chief of Journals within the ESC Journal Family

The following relations during the year prior the beginning of the appointment and until its end, will result in the exclusion of a member from the position of Editor in Chief:

- Simultaneous position as Editor in Chief for another scientific publication.
- Employment (full or part time) by healthcare industry.
- Simultaneous leadership position in international medical organizations other than ESC and ESC National Cardiac Societies, without prior approval from the ESC Presidential Trio.

2.5 Publications Committee Chair

The following relations during the year prior the beginning of the appointment and until its end, will result in the exclusion from the position of Publications Committee Chair:

- Simultaneous position as Editor in Chief for another scientific publication.
- Employment (full or part time) by healthcare industry.
- Simultaneous leadership position in international medical organizations other than ESC and ESC National Cardiac Societies, without prior approval from the ESC Presidential Trio.